

**Annexure – B**

**Investors Complaints’ Data for Kunvarji Finstock Private Limited.**

**Stock Brokers: SEBI Reg. No. INZ000180436: - Data for Month End on 30.09.2024**

| S<br>N | Received<br>from              | Carri<br>ed<br>forwa<br>rd<br>from<br>previ<br>ous<br>month | Receive<br>d<br>during<br>the<br>month | Total<br>Pending | Resol<br>ved* | Pending at the end<br>of the month**    |   | Avera<br>ge<br>Resol<br>ution<br>time^<br>(in<br>days) |
|--------|-------------------------------|---|--|------------------|---------------|---|---|--|
|        |                               |   |  |                  |               | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |  |
| 1      | 2                             | 3   | 4                                      | 5                | 6             | 7                                       |   | 8  |
| 1      | Directly<br>from<br>Investors | 0   | 0                                      | 0                | 0             | 0                                       | 0                                       | NA   |
| 2      | SEBI<br>(SCORES)              | 1   | 0                                      | 1                | 0             | 1                                       | 0                                       | 7 Day  |
| 3      | Stock<br>exchanges            | 0   | 0                                      | 0                | 0             | 0                                       | 0                                       | NA   |
| 4      | Other<br>Sources(if<br>any)   | 1   | 0                                      | 1                | 0             | 1                                       | 0                                       | NA   |
| 5      | <b>Grand<br/>Total</b>        | 0   | 2                                      | 2                | 0             | 2                                       | 0                                       | NA   |

**Trend of monthly disposal of complaints**

| <b>SN</b> | <b>Month</b>       | <b>Carried forward from previous month</b> | <b>Received</b> | <b>Resolved*</b> | <b>Pending**</b> |
|-----------|--------------------|--|-----------------|------------------|------------------|
| <b>1</b>  | <b>2</b>           | <b>3</b>                                   | <b>4</b>        | <b>5</b>         | <b>6</b>         |
|           | April – 2024       | 0  | 0               | 0                | 0                |
|           | May - 2024         | 0  | 0               | 0                | 0                |
|           | June -2024         | 0  | 0               | 0                | 0                |
|           | July -2024         | 0  | 0               | 0                | 0                |
|           | August-2024        | 0  | 4               | 2                | 2                |
|           | September-2024     | 2  | 0               | 0                | 2                |
|           | <b>Grand Total</b> | 0  | 4               | 2                | 2                |

sss\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

| <b>SN</b> | <b>Year</b>        | <b>Carried forward from previous year</b> | <b>Received during the year</b> | <b>Resolved during the year</b> | <b>Pending at the end of the year</b> |
|-----------|--------------------|---|---------------------------------|---------------------------------|---------------------------------------|
| 1         | 2017-18            | 0   | 4                               | 4                               | 0                                     |
| 2         | 2018-19            | 0   | 4                               | 4                               | 0                                     |
| 3         | 2019-20            | 0   | 4                               | 4                               | 0                                     |
| 4         | 2020-21            | 0   | 0                               | 0                               | 0                                     |
| 5         | 2021-22            | 0   | 2                               | 2                               | 0                                     |
| 6         | 2022-23            | 0   | 1                               | 1                               | 0                                     |
| 7         | 2023-24            | 0   | 2                               | 2                               | 0                                     |
| 8         | 2024-25            | 0   | 4                               | 2                               | 2                                     |
|           | <b>Grand Total</b> | 0   | 21                              | 19                              | 2                                     |